

HCSI-101



57

✓ #34

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:  CLARK LUM  Serial No.: 10/090,632  Filed: March 6, 2002  For: SYSTEM AND METHOD FOR DELIVERING UMBILICAL CORD- DERIVED TISSUE-MATCHED STEM CELLS FOR TRANSPLANTATION	Art Unit: 1636  Examiner: Not Yet Assigned
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**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON PROVISIONAL  
APPLICATION FILED UNDER 37 CFR 1.53(b) - FILING DATE GRANTED**

Box: Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Non Provisional Application Filed

Under 37 C.F.R. 1.53(b) mailed April 4, 2002, copy attached, applicants are submitting herewith:

- ☒ Declaration and Power of Attorney for Patent Application signed by Applicant;
- ☐ \_\_\_\_\_ sheets of drawings in compliance with applicable regulations;
- ☐ Other: \_\_\_\_\_;
- ☒ Check in the amount of \$65 to cover the required fees for submission of these documents;
- ☐ The Commissioner is hereby authorized to charge the amount of \_\_\_\_\_ to Deposit Account 50-1390 to cover the required fees for submission of these documents, and a copy of this authorization is attached.

Serial No.: 10/090,632  
Art Unit: 1636

Attorney's Docket No.: HCSI-101  
Page 2

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account 50-1390.

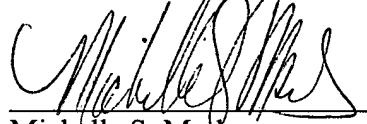
It is respectfully submitted that the application is now complete and it is respectfully requested that the subject application be placed upon the files for examination.

SHAW PITTMAN LLP  
1650 Tysons Boulevard  
McLean, VA 22102  
Tel: 703/770-7048

Date: 4/17/02

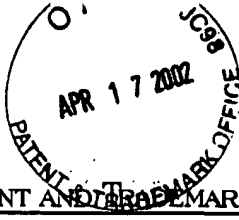
Respectfully submitted,

CLARK LUM



By:

Michelle S. Marks  
Registration No. 41,971



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/090,632	03/06/2002	Clark Lum	HSCI-101

CONFIRMATION NO. 4926

## FORMALITIES LETTER



\*OC000000007797817\*

Michelle S. Marks  
Shaw Pittman LLP  
1650 Tysons Boulevard  
McLean, VA 22102

Date Mailed: 04/04/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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